

3712



PTO/SB/21 (05-03)
Approved for use through 04/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/851,539	
	Filing Date	May 7, 2001	
	First Named Inventor	William Willett	
	Art Unit	3712	
	Examiner Name	Dmitry Suhol	
Total Number of Pages in This Submission	10+	Attorney Docket Number	MAT 3D7

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement (Supplemental) <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1. Form PTO-1449 (7 pages) 2. 58 References (US, Foreign, Other)
Remarks		RECEIVED AUG 05 2003 TECHNOLOGY CENTER R3700

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	B. Anna McCoy, Esq. Kolisch Hartwell, P.C.
Signature	<i>B. Anna McCoy</i>
Date	August 1, 2003

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or printed name	Lisa Munson		
Signature	<i>Lisa Munson</i>	Date	August 1, 2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

WILLIAM WILLETT

Serial No. : 09/851,539

Filed : May 7, 2001

For : ANIMATED DOLL

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

Date: August 1, 2003

Group Art Unit 3712

Examiner Dmitry Suhol

RECEIVED
AUG 05 2003
TECHNOLOGY CENTER R3700

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.56, 1.97, and 1.98

Applicant is submitting this Supplemental Information Disclosure Statement pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98 to disclose to the Patent Office the patents, publications, applications, and/or other references listed on the enclosed, completed PTO-1449 form(s). The filing of this Supplemental Information Disclosure Statement should not be construed as a representation that a search has been made or as an admission that the listed references are prior art for this application. Applicant respectfully requests that the listed references be expressly considered during prosecution of the application, and that the references be made of record therein and appear among the "references cited" on any patents issuing therefrom.

CONTENT OF DISCLOSURE

This Supplemental Information Disclosure Statement includes (1) seven pages of PTO-1449 forms, and (2) a legible copy of each reference listed on the forms.

TIMING OF DISCLOSURE / FEE INFORMATION

This Supplemental Information Disclosure Statement is being filed, to the best of the undersigned's knowledge, either (1) before the mailing of a first Office action on the merits, or (2) before the mailing of a first Office action after the filing of a request for continued examination under 37 C.F.R. § 1.114. Therefore, in accordance with 37 C.F.R. § 1.97(b), no fee or statement under 37 C.F.R. § 1.97(e) is required.

Please contact the undersigned with any questions or comments regarding this Supplemental Information Disclosure Statement.

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on August 1, 2003.



Lisa Munson



Respectfully submitted,

KOLISCH HARTWELL, P.C.



B. Anna McCoy

Registration No. 46,077

Customer No. 23581

520 S.W. Yamhill Street, Suite 200

Portland, Oregon 97204

Telephone: (503) 224-6655

Facsimile: (503) 295-6679

Of Attorneys for Applicant/Assignee



FORM PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION	DOCKET NUMBER MAT 3D7	APPLICATION NUMBER 09/851,539
	APPLICANT William Willett	
	FILING DATE May 7, 2001	GROUP ART UNIT 3712

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FIL. DATE IF APPROP.
	248,115	10/11/1881	Stearns, Jr.			
	3,298,130	1/17/67	Ryan			
	3,767,901	10/23/73	Black et al.			
	3,912,694	10/14/75	Chiappe et al.			
	4,107,462	8/15/78	Asija			
	4,139,968	2/20/79	Milner			
	4,177,589	12/11/79	Villa			
	4,207,704	6/17/80	Akiyama			
	4,231,184	11/4/80	Corris et al.			
	4,245,430	1/20/81	Hoyt			
	4,318,245	3/9/82	Stowell et al.			

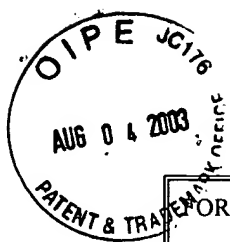
RECEIVED
AUG 05 2003
TECHNOLOGY CENTER R3700

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
						YES	NO
	WO 87/07522	12/17/87	WIPO			XX	
	WO 96/15837	5/30/96	WIPO			XX	

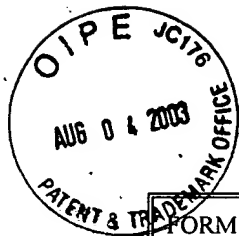
OTHER DOCUMENTS

EXAMINER	DATE CONSIDERED



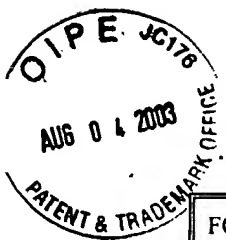
INFORMATION DISCLOSURE CITATION IN AN APPLICATION			DOCKET NUMBER MAT 3D7		APPLICATION NUMBER 09/851,539	
			APPLICANT William Willett			
			FILING DATE May 7, 2001		GROUP ART UNIT 3712	
U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FIL. DATE IF APPROP.
	4,516,950	5/14/85	Berman et al.			
	4,565,487	1/21/86	Kroczyński			
	4,579,540	4/1/86	Ho			
	4,659,919	4/21/87	Price			
	4,660,033	4/21/87	Brandt			
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO
OTHER DOCUMENTS						
EXAMINER			DATE CONSIDERED			

RECEIVED
AUG 05 2003
TECHNOLOGY CENTER R3700

SHEET 3 OF 7

FORM PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION			DOCKET NUMBER MAT 3D7		APPLICATION NUMBER 09/851,539	
			APPLICANT William Willett			
			FILING DATE May 7, 2001		GROUP ART UNIT 3712	
U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FIL. DATE IF APPROP.
	4,696,653	9/29/87	McKeefery			
	4,729,563	3/8/88	Yokoi			
	4,767,374	8/30/88	Yang			
	4,809,335	2/28/89	Rumsey			
	4,825,136	4/25/89	Farhat			
	4,846,693	7/11/89	Baer			
	4,850,930	7/25/89	Sato et al.			
	4,855,725	8/8/89	Fernandez			
	4,864,607	9/5/89	Mitamura et al.			
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO
OTHER DOCUMENTS						
EXAMINER			DATE CONSIDERED			

RECEIVED
AUG 05 2003
TECHNOLOGY CENTER R3700

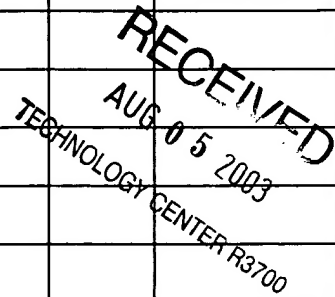
SHEET 4 OF 7

FORM PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION			DOCKET NUMBER MAT 3D7		APPLICATION NUMBER 09/851,539	
			APPLICANT William Willett			
			FILING DATE May 7, 2001		GROUP ART UNIT 3712	
U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FIL. DATE IF APPROP.
	5,011,449	4/30/91	Handy et al.			
	5,029,214	7/2/91	Hollander			
	5,096,451	3/17/92	Smith et al.			
	5,100,138	3/31/92	Wilde			
	5,141,464	8/25/92	Stern et al.			
	5,142,803	9/1/92	Lang			
	5,158,492	10/27/92	Rudell et al.			
	5,195,920	3/23/93	Collier			
	5,213,510	5/25/93	Freeman			
	5,236,385	8/17/93	May			
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO
OTHER DOCUMENTS						
EXAMINER			DATE CONSIDERED			

RECEIVED
AUG 05 2003
TECHNOLOGY CENTER R3700



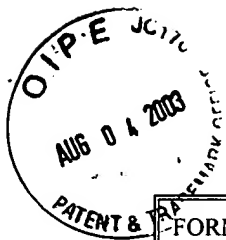
FORM PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION		DOCKET NUMBER MAT 3D7		APPLICATION NUMBER 09/851,539		
		APPLICANT William Willett				
		FILING DATE May 7, 2001		GROUP ART UNIT 3712		
U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FIL. DATE IF APPROP.
	5,239,665	8/24/93	Tsuchiya			
	5,270,480	12/14/93	Hikawa			
	5,280,265	1/18/94	Kramer et al.			
	5,413,355	5/9/95	Gonzalez			
	5,413,516	5/9/95	Lam			
	5,468,172	11/21/95	Basile			
	5,474,484	12/12/95	Lemelle			
	5,478,240	12/26/95	Cogliano			
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO
OTHER DOCUMENTS						
EXAMINER			DATE CONSIDERED			



O.I.P.E. JC176
AUG 04 2003
PATENT & TRADEMARK OFFICE

FORM PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION		DOCKET NUMBER MAT 3D7		APPLICATION NUMBER 09/851,539		
		APPLICANT William Willett				
		FILING DATE May 7, 2001		GROUP ART UNIT 3712		
U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FIL. DATE IF APPROP.
	5,495,557	2/27/96	Hyman et al.			
	5,493,185	2/20/96	Mohr et al.			
	5,493,281	2/20/96	Owens			
	5,501,627	3/26/96	Ekstein			
	5,534,888	7/9/96	Lebby et al.			
	5,636,994	6/10/97	Tong			
	5,648,999	7/15/97	Easterling et al.			
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO
OTHER DOCUMENTS						
EXAMINER			DATE CONSIDERED			

RECEIVED
AUG 05 2003
TECHNOLOGY CENTER R3700



FORM PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION		DOCKET NUMBER MAT 3D7		APPLICATION NUMBER 09/851,539		
		APPLICANT William Willett				
		FILING DATE May 7, 2001		GROUP ART UNIT 3712		
U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FIL. DATE IF APPROP.
	5,655,945	8/12/97	Jani			
	5,656,907	8/12/97	Chainani et al.			
	5,682,196	10/28/97	Freeman			
	5,855,483	1/5/99	Collins et al.			
	5,873,765	2/23/99	Rifkin et al.			
	6,048,209	4/11/00	Bailey			
	6,159,101	12/12/00	Simpson			
	6,435,936	8/20/02	Rehkemper et al.			
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO
OTHER DOCUMENTS						
EXAMINER			DATE CONSIDERED			

RECEIVED
AUG 05 2003
TECHNOLOGY CENTER R3700